

To be read in conjunction with the Rulebook: <http://www.lch.com/rules-regulations/rulebooks/ltd>; and The Collateral Management System Access Agreement.

Please complete this form and return to [collateral.product@lch.com](mailto:collateral.product@lch.com); [collateralOpsChangeTeam@lch.com](mailto:collateralOpsChangeTeam@lch.com).

**Clearing Member information**

*Company name:* ..... *Mnemonic(s):* .....

Please provide at least one Mnemonic for identification purposes. Messages will be available for inclusion across all the Clearing Member's mnemonics and collateral accounts, at the member's discretion.

**Reporting to Third Parties**

Clearing Members may request that the Clearing House send the Margin Call Request (Colr.003), Statement of Holdings (MT535) and/or Statement Message (MT950), for specific Individually Segregated Accounts (ISAs), directly to the underlying client; or the asset manager or custodian acting on behalf of the client (the "Third Party(ies)").

If you would like to take advantage of this Third Party reporting please fill out the relevant Third Party details provided below. The Clearing House will perform a SWIFT RMA exchange with each of the Third Parties. Once the RMA exchange is complete the Clearing Member will be required to configure which ISA accounts are to be sent to which Third Party DN/BIC from within CMS (the "Third Party subscription"). The Clearing House shall be under no obligation to verify the accuracy of the Third Party subscription details and accepts no liability for any loss or damage caused by any inaccurate information.

**Message Formats**

Full context specific details of the LCH usage guidelines can be found on SWIFT MyStandards ([https://www2.swift.com/mystandards/group/LCH\\_Cleantnet](https://www2.swift.com/mystandards/group/LCH_Cleantnet)) under the following collections:

- **LCH – Global – Collateral – MX Business Application Header**
- **LCH – Global – Collateral – MX Standard Messaging Service**
- **LCH – Global – Collateral – MT Standard Messaging Service**

**Register Third Parties**

Clearing Members must provide a valid SWIFT Distinguished Name (DN) and Business Identification Code (BIC) for each Third Party being registered for the Margin Call Request (Colr.003) message. Third Parties being registered for only Statement of Holdings (MT535) and/or Statement Message (MT950) need only provide a valid BIC.

SWIFT DNs must be formatted as follows: [cn=<name>,[ou=<name>]],o=<bic8>,o=swift  
 Example of a SWIFT DN address: cn= fund, o= bankbic8, o= swift

SWIFT BICs must be either 8 or 11 characters

LCH will use the SWIFT BIC: LCHLGB2LCOL when sending messages from CMS via SWIFT.

**Third Party Details**

1 *Entity Name:* .....

<i>Correspondent</i>	<i>SWIFT</i>	<i>DN:</i>
.....		
<i>Correspondent SWIFT BIC:</i>   _   _   _   _   _   _   _   _   _   _   _   _		

**RMA Key Exchange**      **System:** Production (swift.fin)      **LCH Authority:** To Send

*Contact name:* .....

*Telephone:* .....      *EMail:* .....

**LCH Ltd BIC:** LCHLGB2L      **Correspondent SWIFT BIC:** BIC8 as per above

**Third Party Details**

2 Entity Name: .....

Correspondent SWIFT DN:

Correspondent SWIFT BIC: | | | | | | | | | | | | | | | |

**RMA Key Exchange** System: Production (swift.fin) LCH Authority: To Send

Contact name: .....

Telephone: ..... EMail: .....

**LCH Ltd BIC:** LCHLGB2L **Correspondent SWIFT BIC:** BIC8 as per above

**Third Party Details**

3 Entity Name: .....

Correspondent SWIFT DN:

Correspondent SWIFT BIC: | | | | | | | | | | | | | | | |

**RMA Key Exchange** System: Production (swift.fin) LCH Authority: To Send

Contact name: .....

Telephone: ..... EMail: .....

**LCH Ltd BIC:** LCHLGB2L **Correspondent SWIFT BIC:** BIC8 as per above

**Third Party Details**

4 Entity Name: .....

Correspondent SWIFT DN:

Correspondent SWIFT BIC: | | | | | | | | | | | | | | | |

**RMA Key Exchange** System: Production (swift.fin) LCH Authority: To Send

Contact name: .....

Telephone: ..... EMail: .....

**LCH Ltd BIC:** LCHLGB2L **Correspondent SWIFT BIC:** BIC8 as per above

**Third Party Details**

5 Entity Name: .....

Correspondent SWIFT DN:

Correspondent SWIFT BIC: | | | | | | | | | | | | | | | |

**RMA Key Exchange** System: Production (swift.fin) LCH Authority: To Send

Contact name: .....

Telephone: ..... EMail: .....

**LCH Ltd BIC:** LCHLGB2L **Correspondent SWIFT BIC:** BIC8 as per above

**Third Party Details**

6 Entity Name: .....

Correspondent ..... SWIFT ..... DN: .....

Correspondent SWIFT BIC: | \_ | \_ | \_ | \_ | \_ | \_ | \_ | \_ | \_ | \_ | \_ | \_ |

**RMA Key Exchange**      **System:** Production (swift.fin)      **LCH Authority:** To Send

Contact name: .....

Telephone: ..... EMail: .....

**LCH Ltd BIC:** LCHLGB2L      **Correspondent SWIFT BIC:** BIC8 as per above

**Third Party Details**

7 Entity Name: .....

Correspondent ..... SWIFT ..... DN: .....

Correspondent SWIFT BIC: | \_ | \_ | \_ | \_ | \_ | \_ | \_ | \_ | \_ | \_ | \_ | \_ |

**RMA Key Exchange**      **System:** Production (swift.fin)      **LCH Authority:** To Send

Contact name: .....

Telephone: ..... EMail: .....

**LCH Ltd BIC:** LCHLGB2L      **Correspondent SWIFT BIC:** BIC8 as per above

**Clearing Member Authorised signature**

Name and title: .....

Date: ..... / ..... / .....      Signature: .....

The signature here will be checked against the authorised signatory list held by LCH for the Member. Please provide evidence of signing authority for the Authorised Signatory, including specimen signature if not already provided to LCH.

**LCH Internal Use Only**

**Collateral Ops**

RMA Riased By: .....      Authorised By: .....

Date: ..... / ..... / .....      Date: ..... / ..... / .....

**Security Admin**

Auth Created By: .....      Auth Approved By: .....

Date: ..... / ..... / .....      Date: ..... / ..... / .....

**Static Data**

Input By: .....      Authorised By: .....

Date: ..... / ..... / .....      Date: ..... / ..... / .....

**Collateral Ops**

Member Informed Complete by: .....

*Date:* ..... / ..... / .....